IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Li Nie et al.

Application No.:

Unknown

Group No.:

Unknown

Filed:

(Herewith)

Examiner:

Unknown

For: GRAIN PROTEIN-BASED FORMULATIONS AND METHODS OF USING SAME

Commissioner for Patents P.O. Box 1450 **Alexandria, VA 22313-1450**

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL **FILED UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§§ 1.56, 1.97(b) and 1.98, Applicants hereby submit an Information Disclosure Statement Form PTO-1449 (modified) along with a copy of the patent reference cited therein.

Applicants submit that the cited references, taken alone or in combination, neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

Applicants believe no fees are due in connection with the filing of this Information Disclosure Statement (IDS). However, should any additional fees be required in connection with the IDS, the Commissioner is hereby authorized to charge said fees to Deposit Account No. 12-0600.

Respectfully submitted,

Date: 7/11/03

William A. Rudy, Reg. No.

LATHROP & GAGE L.O

2345 Grand Boulevard, Suite 2400 Kansas City, MO 64108-2642

Tele: (816) 460-5819

Fax: (816) 292-2001

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO				Complete if Known			
	<u>.</u>		Application Number	Unknown			
INFORMATION DISCLOSURE				Filing Date	(Herewith)		
STA	STATEMENT BY APPLICANT			First Named Inventor	Li Nie		
-		-	·	Art Unit	Unknown		
	(use as many sheets as necessary)			Examiner Name	Unknown		
Sheet	1	of	1	Attorney Docket Number	410289		

	U.S. PATENT DOCUMENTS						
Examiner	Cite No.1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
Initials *		Number - Kind Code ² (if known)	MM-DD-YYYY	Ched Document			
		US-5,665,152	09-09-1997	Bassi et al.			
		US-					
		US-					
	1	US-			- p p q.		
		US-	 	The second secon			
	T	UŠ-					
		US-	W- 2 - 2 - 2 - 1		the second secon		
		ÜS-			· · · · · · · · · · · · · · · · · · ·		
	-	US-	, , , , , , , , , , , , , , , , , , , ,				
***	<u> </u>	US-					
		US-	· · · · · · · · · · · · · · · · · · ·	 			
*****	· ·	US-			44 7 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4		
	· · · · · ·	US-					
.,	<u> </u>	US-		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1			
·····	1	US-	12 17	1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1			
	1	US-	T 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	-	72.		

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or	Pages, Columns, Lines,	L _e		
		Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)		Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear			
· · · · · · · · · · · · · · · · · · ·								
			,					
					The same of the sa			
				· · · · · · · · · · · · · · · · · · ·				
				· · · · · · · · · · · · · · · · · · ·				

Examiner Signature		Date Considered	 	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO

Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form call 1-800-PTO-9199 and select outing 2. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.